



Receipt
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	George R. Hood et al.	Examiner:	N/A
Serial No.:	09/610,646	Group Art Unit:	2767
Filed:	June 29, 2000	Docket:	8980
Title:	BASIC AND INTERMEDIATE NET INTEREST REVENUE IMPLEMENTATIONS FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on September 19, 2000.

By: [Signature]

Name: George H. Gates

Attn: Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Request for Corrected Filing Receipt and Copy of Filing Receipt.
- ☒ Copy of executed Declaration and Power of Attorney of June 27, 2000.
- ☒ Return postcard.

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Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: [Signature]

Name: George H. Gates

Reg. No.: 33,500

GHG/io

(PTO TRANSMITTAL - GENERAL)



BY THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood et al. Examiner: N/A
Serial No.: 09/610,646 Group Art Unit: 2767
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REQUEST FOR CORRECTED FILING RECEIPT

Attn: Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on June 29, 2000. Corrections are in **Bold** print.

The correspondence address for the instant patent application is as follows:

James M. Stover
NCR Corporation
101 W. Schantz Avenue, ECD-2
Dayton, Ohio 45479

Under Applicant(s)

George Robert Hood, Poway, California
Paul H. Phibbs, Jr., Escondido, California

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A copy of the Declaration and Power of Attorney, executed by both inventors on June 27, 2000 is also enclosed.

Correction of the records of the U.S. Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

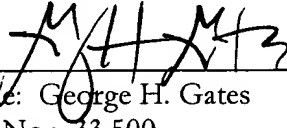
Respectfully submitted,

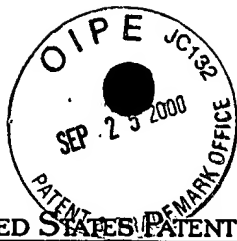
George R. Hood et al.

By their attorneys,

GATES & COOPER
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: September 19, 2000

By: 
Name: George H. Gates
Reg. No.: 33,500



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/610,646	06/29/2000	2767	762	8980	4	24	3

Gates & Cooper
Howard Hughes Center
6701 Center Drive West
Suite 1050
Los Angeles, CA 90045

James M. Stover
NCR Corporation
101 W. Schantz Avenue, ECD-2
Dayton, Ohio 45479

FILING RECEIPT



OC000000005384855

Date Mailed: 09/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

George Robert Hood, ~~Residence Not Provided~~,
Paul H. Phibbs Jr., ~~Residence Not Provided~~,
Jr.,

Poway, CA
Escondido, CA

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Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/07/2000

Title

Basic and intermediate net interest revenue implementations for financial processing in a relational database management system

Preliminary Class

705

Data entry by : BRITTON, PAULA

Team : OIPE

Date: 09/08/2000



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Gates & Cooper



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
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Washington, DC 20231

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Bib Data Sheet

SERIAL NUMBER 09/610,646	FILING DATE 06/29/2000 RULE -	CLASS 705	GROUP ART UNIT 2767	ATTORNEY DOCKET NO. 8980
APPLICANTS George Robert Hood, Poway, CA ; Paul H. Phibbs JR., Escondido, CA ; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/07/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		STATE OR COUNTRY CA	SHEETS DRAWING 4	TOTAL CLAIMS 24
			INDEPENDENT CLAIMS 3	
ADDRESS JAMES M STOVER NCR CORPORATION 101 W SCHANTZ AVENUE ECD-2 DAYTON ,OH 45479				
TITLE Basic and intermediate net interest revenue implementations for financial processing in a relational database management system				
FILING FEE RECEIVED 762	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	